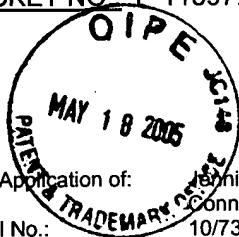


JFW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

In re Application of: Jennifer J. Zhao et al.
 For: Connector Header Setscrew for an Implantable Medical Device
 Serial No.: 10/733,511
 Filed: December 11, 2003

CERTIFICATE OF MAILING UNDER 37 CFR 1.8: I hereby certify that this **INFORMATION DISCLOSURE STATEMENT** and the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 10th day of May, 2005.

Signature

Sue McCoy

Printed Name

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement
- ☒ PTO FORM 1449
- ☒ Return Postcard

FEE CALCULATION

- ☒ \$ 00.00 Pursuant to 37 CFR §1.97(b) (before mailing of first Office Action)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(c) with Certification (cited in foreign application not more than 3 months earlier)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(e) with Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(c) without Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(d) with Certification

☐ Applicant hereby petitions for a _____ months' extension of time. If an additional extension of time is required, please consider this petition therefor.

☐ Applicant believes that no extension of time is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.

☒ Please charge any additional fees or credits to Deposit Account No. 13-2546 which may have been overlooked with regard to this filing. A duplicate of this transmittal is enclosed.

Date

5/13/08

Daniel G. Chapik

Reg. No. 43,424

Telephone: (763) 514-3066

Customer No. 27581



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jennifer J. Zhao et al.) Art Unit: 3762
Serial No.: 10/733,511) Examiner:
Filed: December 11, 2003) Docket: P-11597.00

Conf No: 9218

For: CONNECTOR HEADER SETSCREW FOR AN IMPLANTABLE MEDICAL
DEVICE

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application.

Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Respectfully submitted,

Date: 5/13/01

By: 

Daniel G. Chapik
Reg. No. 43,424
Telephone: (763) 514-3066
Customer No. 27581

FORM PTO-1449

(Rev. 2-32)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE STATEMENT
BY APPLICANT
(Use several sheets if necessary)

ATTY. DOCKET NO.:

P-11597.00

SERIAL NO:

10/733,511

APPLICANT: Jennifer J. Zhao et al.

FILING DATE:
December 11, 2003GROUP:
3762

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE ISSUED	INVENTOR NAME	U.S. CLASS	U.S. SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	2004/0122481 A1	06/24/04	Tidemand et al.	607	37	
	AB	2003/0040780 A1	02/27/03	Haeg et al.	607	36	
	AC	2001/0034543 A1	10/25/01	Haeg et al.	607	36	
	AD	6,205,358 B1	03/20/01	Haeg et al.	607	36	
	AE	5,989,077 A	11/23/99	Mast et al.	439	814	
	AF	5,522,861 A	06/04/96	Sikorski et al.	607	36	
	AG	5,207,218 A	05/04/93	Carpentier et al.	128	419 PG	
	AH	4,932,409	06/12/90	Hirschberg	128	419 P	
	AI	4,479,489	10/30/84	Tucci	128	419 P	
	AJ	4,461,194	07/24/84	Moore	81	436	
	AK	4,445,511	05/01/84	Cowdery et al.	128	419 P	
	AL	4,316,471	02/23/82	Shipko et al.	128	419 P	
	AM	4,262,673	04/21/81	Kinney et al.	128	419 P	
	AN	4,226,244	10/07/80	Coury et al.	128	419 P	
	AO	4,182,345	01/08/80	Grose	128	419 P	
	AP	4,180,078	12/25/79	Anderson	128	419 PG	
	AQ	4,154,248	05/15/79	Jones	128	419 P	
	AR	4,142,532	03/06/79	Ware	128	419 P	
	AS	4,141,752	02/27/79	Shipko	128	419 P	
	AT	4,105,037					
	AU	4,072,154	02/07/78	Anderson et al.	128	419 P	
	AV	4,010,762	03/08/77	Strydom	131	21 B	

EXAMINER

DATE CONSIDERED

EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO.: P-11597.00	SERIAL NO: 10/733,511
	APPLICANT: Jennifer J. Zhao et al.	
	FILING DATE: December 11, 2003	GROUP: 3762



	AW	3,907,668	09/23/75	Christman et al.	208	216	
	AX	3,822,707	07/09/74	Adducci et al.	128	419 P	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE PUBLIC- ATION	COUNTRY	INT. CLASS	INT. SUB- CLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

	AY	USSN 10/732,940, filed December 11, 2003, entitled "CONNECTOR HEADER GROMMET FOR AN IMPLANTABLE MEDICAL DEVICE" to Ries et al.
	AZ	USSN 10/199,601, filed July 19, 2002, entitled "ULTRASONICALLY WELDED, STAKED OR SWAGED COMPONENTS IN AN IMPLANTABLE MEDICAL DEVICE" to Haeg et al.

EXAMINER

DATE CONSIDERED

EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.